

Registered Signatories Form

All funds

This form is to be used to update or change registered signatories on your account. Persons listed as a registered signatory will be authorised to make account changes and request fund redemptions.

Certified copy of identification is mandatory. See section 5 for details. Please see section 4 for more information about how we use your details.



Note, this form cannot be used to appoint a Power of Attorney or change Power of Attorney details. Please contact us on 1800 996 888 to discuss how to make these changes to your account.

Section 1. Account details

Account number

Account name

Section 2: Signatory details

Please list **all signatories** you would like registered on your account on pages 2 – 3. If you have any questions, please contact us on 1800 996 888.



I already have signatories authorised on my account. Do I need to list their details as well as new signatory details?

Yes, the list below should be a complete list of all signatories – both new and existing. If a previously added signatory is not listed below, they will be removed from your account's authorisation list.

How many signatories will be required for redemptions?

Redemptions from Uniting Ethical Enhanced Cash Trust and Uniting Ethical Australian Equities Trust:
A minimum of one signatory is required (unless otherwise specified on your account)

Redemptions from UCA Enhanced Cash Portfolio, UCA Growth Portfolio and UCA Australian Equities:
A minimum of two signatories will be required to authorise each redemption

Section continued overleaf

Signatory 1

Title Given name

Surname / Family name

Position

Date of birth *DD / MM / YYYY*
 / /

Address (PO Box not accepted)

Suburb / City

State Postcode

Mobile Other phone number

Email

By signing the below, I acknowledge the important declarations listed in section 4.

Signature

Date *DD / MM / YYYY*
 / /

Signatory 2

Title Given name

Surname / Family name

Position

Date of birth *DD / MM / YYYY*
 / /

Address (PO Box not accepted)

Suburb / City

State Postcode

Mobile Other phone number

Email

By signing the below, I acknowledge the important declarations listed in section 4.

Signature

Date *DD / MM / YYYY*
 / /

Office use only	Client code <input type="text"/>	Account number <input type="text"/>
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Signatory 3

Title Given name

Surname / Family name

Position

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb / City

State Postcode

Mobile Other phone number

Email

By signing the below, I acknowledge the important declarations listed in section 4.

Signature

Date DD / MM / YYYY
 / /

If you require more signatories to be registered, please provide details above on a separate sheet of paper and submit with this form.

Signatory 4

Title Given name

Surname / Family name

Position

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb / City

State Postcode

Mobile Other phone number

Email

By signing the below, I acknowledge the important declarations listed in section 4.

Signature

Date DD / MM / YYYY
 / /

Office use only	Client code <input type="text"/>	Account number <input type="text"/>
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Section 3. Existing signatories authorising changes

This section must be completed by existing account signatories. For UCA Enhanced Cash Portfolio, UCA Growth Portfolio and UCA Australian Equities accounts, two signatories must provide authorisation.

I/we authorise the signatories listed in section 2 as registered signatories on my/our account, and acknowledge the important declarations listed in section 4.

Authorisation of Investor 1 / Signatory 1

Title Given name

Surname / Family name

Signature

Date DD / MM / YYYY
 / /

Authorisation of Investor 2 / Signatory 2

Title Given name

Surname / Family name

Signature

Date DD / MM / YYYY
 / /

Section 4. Important declarations and acknowledgments

Important declarations: Registered signatories

I/we acknowledge:

- › All details provided as a registered signatory are true and correct.

I am/we are aware and give consent for UCA Funds Management to use or disclose personal information in accordance with its Privacy Policy in order to*:

- › Manage my/our accounts
- › Communicate with me/us about my investment
- › Provide loans
- › Meet statutory and taxation requirements

**For more detailed information about how we collect, secure, use and disclose your personal information, please read our Privacy Policy at www.ucafunds.com.au/Privacy*

For any additional amounts invested:

- › I agree that before making an additional investment into a UCA Funds Management Trust or Fund I/we will obtain the current version of the PDS or Offer Document by downloading the most recent version from the website or by contacting UCA Funds Management for a copy to be sent to me/us.

I/we have read all the terms and conditions contained in the PDS or Offer Document and understand and acknowledge the following:

- › UCA Funds Management has the right to refuse a future investment, or switches without assigning a reason.
- › UCA Funds Management will not be responsible for any incorrect communications, and that UCA Funds Management will not compensate me for my losses.
- › The nature of risk attached to the investments this account is for and that the value of my investments may rise or fall and acknowledge that neither UCA Funds Management nor its related parties nor its custodians, guarantees a return of capital or the investment performance or earnings of my investment.

Important declarations: Signatories authorising changes

I/we acknowledge

- › Registered signatories listed will be able to make changes to my/our account, including amending distribution instructions, contact/company details and direct debit details.
- › Registered signatories will be able to redeem funds of any amount. These redemptions may be made at varying intervals of time.
- › Registered signatories may use UCA Funds Management electronic/telephone services to manage my/our account, even though I/we do not use them ourselves.
- › UCA Funds Management is not responsible for any loss, liabilities and costs directly or indirectly incurred as a result of the appointment of a registered signatories listed.
- › Registered signatories are authorised on my/our account until further notice or until a revised Registered Signatories Form is submitted.
- › Authorised signatories may not give other third party access or authority on my/our account.

Section 5. Certified identification required

To comply with Anti-money Laundering and the Counter-Terrorism Financing legislation, all applications must include the following certified documentation. See overleaf for who can certify identification.

Certified documentation required for signatories

All signatories must provide certified copies of identification according to **either option A or B**.

Option A

Provide one **current** primary photographic identification document.

Documents must include your photograph.

- › Australian or overseas driving licence or permit
- › Australian passport
- › International passport
- › Proof of Age Card (government issued)

Please contact us to discuss other primary photographic identification documents that will be accepted.

Option B

Provide one **current** primary and one current secondary non-photographic identification document.

Primary non-photographic identification documents

- › An Australian or foreign birth certificate or birth extract
- › An Australian or foreign citizenship certificate
- › A Centrelink pension or health card

Secondary non-photographic identification documents

Document must include your current residential address.

- › A utility bill (issued within the last three months)
- › A property rates notice (issued within the last three months)
- › A taxation notice (issued by the ATO within the last 12 months)
- › A Centrelink statement (issued within the last 12 months)
- › In relation to a person under the age of 18 years of age, a notice by a school principal (issued within the last three months)

Please contact us to discuss other primary and secondary non-photographic identification documents that will be accepted.

Who can certify documents?

- 1) Accountant (where a member of a recognised association or institute)
- 2) Agent in charge of an Australian Post Office supplying postal services to the public.
- 3) Building Society officer with 2 or more years of continuous service.
- 4) Chiropractor*
- 5) Dentist*
- 6) Finance industry officer (including Bank, Credit Union or Finance Company) with 2 or more years of continuous service.
- 7) Officer with, or authorised representative of, the holder of an Australian Financial Services licence, having 2 or more years of continuous service with one or more licences
- 8) Justice of the Peace
- 9) Judge or Master of a Federal, State or Territory court
- 10) Legal practitioner*
- 11) Magistrate
- 12) Marriage celebrant registered under Subdivision C or Division 1 of the Part IV of the Marriage Act 1961
- 13) Medical practitioner*
- 14) Member of Chartered Secretaries Australia
- 15) Member of Engineers Australia, other than at the grade of student
- 16) Member of Parliament (Commonwealth, State or Territory legislature or Local government)
- 17) Member of the Australian Defence Force who is
 - a) An officer
 - b) A non-commissioned officer within the meaning of the Defence Force Discipline Act 1982 with 2 more years of continuous service
 - c) A warrant officer within the meaning of that Act
- 18) Minister of religion registered under Subdivision A of Division 1 of Part IV of the Marriage Act 1961
- 19) Notary public
- 20) Nurse*
- 21) Optometrist*
- 22) Patent attorney*
- 23) Permanent Employee of a Post Office supplying postal services to the public with 2 or more years of continuous service.
- 24) Permanent employee of:
 - a) The Commonwealth or a Commonwealth authority
 - b) A State or Territory or a State or Territory authority;
 - c) A local government authority

With 2 or more years of continuous service
- 25) Pharmacist*
- 26) Physiotherapist*
- 27) Police officer
- 28) Psychologist*
- 29) Teacher employed on a full-time basis at a school or tertiary education institution
- 30) Trademarks attorney*
- 31) Veterinary surgeon*

Additional categories of prescribed person can be obtained from our Client Service Representatives by calling 1800 996 888.

** These persons must be licenced or registered to practice in this occupation under Australian State or Territory law.*