

Excluded stock list

All funds

We regularly review the companies (stocks) that are included in the S&P/ASX 300 Accumulation Index against the elements of the [Ethical Investment Policy](#). The following stocks are excluded from investment by UCA Funds Management as they are materially and directly involved in activities that could have a negative effect on society and the environment.

Categories: *Product/industry-based* *Excluded due to negative product, service or industry*
Conduct-based *Excluded due to misconduct, negative practices/policies*

Excluded stock	ASX Code	Category	Reason for exclusion		
			Criteria	Secondary criteria	Third criteria
AGL Energy	AGL	Product/industry-based	Extraction and generation of thermal coal		
Aristocrat Leisure	ALL	Product/industry-based	Provider of gambling services / products		
Austal	ASB	Product/industry-based	Production of armaments		
Beach Energy	BPT	Product/industry-based	Extraction of coal seam gas		
BHP Billiton	BHP	Product/industry based; Conduct-based	Extraction of uranium	Extraction of thermal coal	
CIMIC Group	CIM	Conduct-based	Serious violations of human rights (corruption)		
Coca-Cola Amatil	CCL	Product/industry-based	Production of alcohol		
Crown	CWN	Product/industry-based	Provider of gambling services / products		

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			Criteria	Secondary criteria	Third criteria
Domino's Pizza Enterprises	DMP	Conduct-based	Serious violations of human rights (labour justice)		
Hotel Property Investments	HPI	Product/industry-based	Provider of gambling services / products		
Nine Entertainment Co Holdings	NEC	Product/industry-based	Provider of gambling services / products		
Origin Energy	ORG	Product/industry-based	Extraction of coal seam gas		
Rio Tinto	RIO	Product/industry based; Conduct-based	Extraction of uranium	Extraction of thermal coal	Serious violations of human rights (corruption)
Santos	STO	Product/industry-based	Extraction of coal seam gas		
Senex Energy	SXY	Product/industry-based	Extraction of coal seam gas		
Skycity Entertainment Group	SKC	Product/industry-based	Provider of gambling services / products		
South32	S32	Product/industry-based	Extraction of thermal coal		
Sundance Energy Australia	SEA	Product/industry-based	Extraction of coal seam gas		
Tabcorp Holdings	TAH	Product/industry-based	Provider of gambling services / products		
The Star Entertainment Group	SGR	Product/industry-based	Provider of gambling services / products		
Treasury Wine Estates	TWE	Product/industry-based	Production of alcohol		
Whitehaven Coal	WHC	Product/industry-based	Extraction of thermal coal		
Woolworths	WOW	Product/industry-based	Provider of gambling services / products	Production of alcohol	